

## FACTS

### WHAT DOES CRESTONE CAPITAL LLC DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and other personal information required to open an account
- Account balances and account transactions
- Assets
- General contact information
- IP address and geolocation data
- Employment and occupational data

When you are *no longer* our customer, we continue to share your information as described in this notice.

#### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Crestone Capital LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Crestone Capital LLC share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	No	No
<b>For joint marketing with other financial companies</b>	No	No
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	No
<b>For our affiliates to market to you</b>	No	No
<b>For nonaffiliates to market to you</b>	No	No

#### Questions?

Call 303-442-4447 or go to <http://www.crestonecapital.com>

## Who we are

Who is providing this notice?

Crestone Capital LLC

## What we do

How does Crestone Capital LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Crestone Capital LLC collect my personal information?

We collect your personal information, for example, when you

- Open an account *or* make an investment
- Transact in your account *or* make a wire transfer
- Submit information to our client portal, website, or other services

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Our affiliates include Crestone Asset Management LLC.*

**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Our nonaffiliates include, but are not limited to, our custodial relationships, including Pershing Advisor Solutions LLC.*

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Crestone Capital LLC does not jointly market.*

## Other important information

This notice applies to individual consumers who are customers or former customers. This notice replaces all previous notices of our consumer privacy policy and may be amended at any time. We will keep you informed of changes or amendments as required by law. See our [crestonecapital.com](http://crestonecapital.com) website for a more detailed statement of the privacy policy.